IDS.- 04/19/2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Julio C. Palmaz and) Dkt. No.:

JOH2748P0044US

Serial No.:

10/689,579

Richard A. Schutz

Group Art No.: 3738

Filed:

10-20-2003

Examiner: Paul Prebilic

Title: Expandable Intraluminal Graft, and

Method and Apparatus for

Implementing an Intraluminal Graft)

CORRECTION TO INFORMATION DISCLOSURE STATEMENT DATED APRIL 7, 2006

Mail Stop Amendment Commissioner for Patents -P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This paper is being submitted to more specifically draw the Examiner's attention to certain references which were previously cited in the Information Disclosure Statement dated April 7, 2006.

On pages 3-4 of the April 7, 2006 Information Disclosure Statement, Applicants invited the Examiner's particular attention to a listing of eleven references cited on the PTO-1449 forms accompanying the Information Disclosure Statement. However, Applicants inadvertently forgot to include two additional references in the listing. Both of these references were included on the PTO-1449 forms as well as briefly discussed on

> 37 CFR 1.8 CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service, as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on April 13, 2006.

Reduction 4 995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO	HADA			Application Number	10/689,579
Substitute for form 1445AF 10				Filing Date	October 20, 2003
INFORMATION				First Named Inventor	Julio C. Palmaz
STATEMENT BY APPLICANT				Group Art Unit	3738
(Use as many she	(Use as many sheets as necessary)				2120
	•			Examiner Name	Paul B. Prebilic
Sheet	1	of	33	Attorney Docket No.	02748P0044US

			U.S. PATENT DOC	UMENTS		
Examiner	Cite No.1	U.S. Patent Document	Publication Date MM-DD-YY	Name of Patent Applicant of C		s, Columns, Lines Where ant Passages or Relevant
Initials*	No.	Number - Kind Code ² (if known)	MIVI-DD-YY	Document		Figures Appear
PP		US- 861,659	07/30/07	Johnston		
		US- 3,599,641	08/17/91	Sheridan		
		US- 3,657,744	04/25/72	Ersek		
		US- 3,744,596	07/10/73	Sander	:	
		US- 3,968,800	, 07/17/76	Vilasi		
		US- 4,076,285	02/28/78	Martinez		
		US- 4,299,226	11/10/81	Banka		
		US- 4,300,244	11/17/81	Bokros		
		US- 4,323,071	04/06/82	Simpson et a	зі.	
		US- 4,390,599	06/28/83	Broyles		
		US- 4,503,569	03/12/85	Dotter		
		US- 4,512,338	04/23/85	Balko et al.		
		US- 4,550,447	11/05/85	Seiler, Jr. et	al.	
		US- 4,553,545	11/19/85	Maass et al		
		US- 4,560,374	12/24/85	Hammersta	g	
		US- 4,562,596	01/07/86	Kronberg		
		US- 4,580,568	04/08/86	Gianturco		
V		US- 4,655,771	04/07/87	Wallsten		•
Examiner Signature		/Paul Prebilic/		c	ate Considered	04/25/2006

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standards ST.16, if possible. ⁶ Applicant is to place a checkmark here if English language Translation is attached.

Substitute for form 1449A/PTO				Application Number	10/689,579
Substitute for form 1445AP 10	INFORMATION DISCLOSURE				October 20, 2003
					Julio C. Palmaz
STATEMENT	STATEMENT BY APPLICANT			Group Art Unit	3738
(Use as many sh	eets as nec	essary)		Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	2	of	33	Attorney Docket No.	02748P0044US

			U.S. PATENT DOC	UMENTS		<u> </u>	
Examiner Initials*	Cite No.1	U.S. Patent Document	Publication Date MM-DD-YY	Applicant of C			s, Columns, Lines Where ant Passages or Relevant
		Number - Kind Code ² (if known)		Docume	ent		Figures Appear
PP	PP US- 4,676,241		06/30/87	Webb et al.			
		US- 3,279,996	10/18/66	Long et	al.		
		US- 3,526,005	09/01/70	Bokros	5		
		US- 3,932,627	01/13/76	Margra	ıf		
		US- 3,948,254	04/06/76	Zaffaroni			
		US- 3,952,334	04/27/76	Bokros el	t al.		
		US- 4,069,307	01/17/78	Higuchi e	t al.		
		US- 4,292,965	10/06/81	Nash			
		US- 4,312,920	01/26/82	01/26/82 Pierce et al. 03/30/82 Mano			
		US- 4,321,711	03/30/82				
		US- 4,565,740	01/21/86	Golander	et al.		
		US- 4,613,665	09/23/86	Larm			
		US- 4,642,111	02/10/87	Sakamoto	et al.		
		US- 4,656,083	04/07/87	Hoffman e	et al.		
		US- 4,678,466	07/07/87	Rosenwa	ald		
		US- 4,687,482	08/18/87	Hansoi	n		
		US- 4,689,046	08/25/87	Bokros			
V		US- 4,740,207	04/26/88	Kreame	er		
xaminer Signature					Date Consid	ered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standards ST.16, if possible. 6 Applicant is to place a checkmark here if English language Translation is attached.

Substitute for form 1449A/PTO				Application Number	10/689,579
Substitute for form 1449AFTO				Filing Date	October 20, 2003
INFORMATION				First Named Inventor	Julio C. Palmaz
STATEMENT B	STATEMENT BY APPLICANT			Group Art Unit	3738
(Use as many she	ets as nece	essary)		Confirmation No.	2120
	•				Paul B. Prebilic
Sheet	3	of	33	Attorney Docket No.	02748P0044US

			U.S. PATENT DOC	UMENTS	
Examiner	Cite	U.S. Patent Document	Publication Date	Name of Patentee or Applicant of Cited	Pages, Columns, Lines Where Relevant Passages or Relevant
Initials*	No.¹	Number - Kind Code ² (if known)	MM-DD-YY	Document	Figures Appear
PP		US- 4,731,054	03/15/88	Billeter et al.	
1		US- 4,733,665	03/29/88	Palmaz	
		US- 4,739,762	04/26/88	Palmaz	
		US- 4,760,849	08/02/88	Kropf	
		US- 4,776,337	10/11/88	Palmaz	
		US- 4 <u>,</u> 787,899	11/29/88	Lazarus	
		US- 4,800,882	01/31/89	Gianturco	
		US- 4,856,516	08/15/89	Hillstead	
		US- 4,969,458	11/13/90	Wiktor	
		US- 4,998,923	03/12/91	Samson et al.	
		US- 5,102,417	04/07/92	Palmaz	
		US- 5,135,536	08/04/92	Hillstead	
1		US- 4,753,625	06/28/88	Langer et al.	
		US- 4,768,507	09/06/88	Fischell et al.	
		US- 4,810,784	03/07/89	Larm	
		US- 4,872,867	10/10/89	Joh	
1/		US- 4,916,193	04/10/90	Tang	
Ψ-		US- 4,886,062	12/12/89	. Wiktor	
xaminer ignature				Date Cons	sidered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique cliation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standards ST.16, if possible. ⁶ Applicant is to place a checkmark here if English language Translation is attached.

Substitute for form 1449A/PTO				Application Number	10/689,579
Substitute for form 1449APTO	ubstitute for form 1443/20170				October 20, 2003
INFORMATIO				First Named Inventor	Julio C. Palmaz
STATEMENT	STATEMENT BY APPLICANT				3738
(Use as many sh	eets as nece	essary)		Confirmation No.	2120
					Paul B. Prebilic
Sheet	4	of	33	Attorney Docket No.	02748P0044US

				U.S. PATENT DOC	UMENTS			
Examiner	Cite	U.	S. Patent Document	Publication Date Name of Pater Applicant of				, Columns, Lines Where nt Passages or Relevan
Initials*	No.¹	Numbe	er - Kind Code² (if known)	MM-DD-YY	Docume		releva	Figures Appear
PP		US-	4,994,298	02/19/91	Yasuda			
]		US-	5,019,090	05/28/91	Pinchu	ık		
		US-	5,019,096	05/28/91	Fox et a	al.		
		US-	5,029,877	07/09/91	Fedeli et	al.		
		US-	5,034,265	07/23/91	Hoffman e	et al.		
		US-	5,053,048	10/01/91	Pinchu	ık		
		US-	5,049,403	0917/91	Larm et	al.		
		US-	5,059,166	10/22/91	Fischell e	et al.		
		US-	5,133,732	07/28/92	Wikto	r		
		US-	5,182,317	01/26/93	Winters e	et al.		
		US-	5,213,898	05/25/93	Larm et	al.		
		us-	5,226,913	07/13/93	Pinchu	ık		
		US-	5,262,451	11/16/93	Winters e	et al.		
		US-	5,338,770	08/16/94	Winters e	et al.		-
		US-	5,383,928	01/24/95	Scott et	al.		
		US-	5,474,563	12/12/95	Myler			
\/		US-	5,512,055	04/30/96	Domb et	al.		
V		US-	5,545,208	08/13/96	Wolff et	al.		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

^{**}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standards ST.16, if possible. 6 Applicant is to place a checkmark here if English language Translation is attached.

0. 5-25-4-4-4-4-4-4-4-4-4-4-4-4-4-4-4-4-4-4				Application Number	10/689,579
Substitute for form 1449A/PTO	ubstitute for form 1449AP TO			Filing Date	October 20, 2003
	INFORMATION DISCLOSURE				Julio C. Palmaz
STATEMENT I	STATEMENT BY APPLICANT			Group Art Unit	3738
(Use as many sh	eets as nece	ssary)		Confirmation No.	2120
					Paul B. Prebilic
Sheet	5	of	33	Attorney Docket No.	02748P0044US

			U.S. PATENT DOC	UMENTS	
Examiner Initials*	Cite No.¹	U.S. Patent Document Number - Kind Code ² (if know	Publication Date MM-DD-YY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
PP		US- 5,591,227	01/07/97	Dinh et al.	
		US- 5,624,411	04/29/97	Tuch	
		US- 5,877,224	03/02/99	Brocchini et al.	
\overline{V}		US- 6,120,536	09/19/00	Ding et al.	
		US-			
		US-		· · · · · · · · · · · · · · · · · · ·	
		US-			
		US-			
		US-		·	
		US-		·	
		US-			
		US-			
		US-			<u> </u>
	ļ	US-			
		US-			<u></u>
Examiner Signature				Date Cons	sidered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standards ST.16, if possible. 6 Applicant is to place a checkmark here if English language Translation Is attached.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid QMB control number

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	10/689,579		
Substitute for form 1449AF10	•			Filing Date	October 20, 2003
*****				First Named Inventor	Julio C. Palmaz
STATEMENT	BY APPL	ICANT		Group Art Unit	3738
(Use as many sh	eets as nec	essary)		Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	6	of	33	Attorney Docket No.	02748P0044US

		FOREIGN P	ATENT DOCUMENT	`S				
Examiner	Cite	Foreign Patent Document	Publication Date		Patentec or nt of Cited	Pages, Where R	Columns, Lines, elevant Passages or	7
Initials'	No.¹	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY		ument	Relevar	t Figures Appear	Ĺ
PBP		EP 0 145 166	08/19/1985 10/12/84		•			
1		EPO177330	04 104 1986					
		EP 0 183 372	06/04/1986 19/18/85					
		EP 0 221 570	C5/13/1987					T
		USSR 660689	05/05/1979 11/25/77					
		EP 0 421 729	04/1991					
		USSR 1457921	02/1989					
V		WO 89/03232	04/20/89					L
-		·						
								Ĺ
		·						
								\perp
Examiner Si					Date Conside	red		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.
'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.' Kind of document by the appropriate symbols as indicated on the document under WIPO Standards ST.16, if possible. 'Applicant is to place a checkmark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

		,			7 Control marrise
Substitute for form 1449A/PTO				Application Number	10/689,579
Substitute for form 1443201 10				Filing Date	October 20, 2003
INFORMATIO				First Named Inventor	Julio C. Palmaz
STATEMENT	BY APPL	ICANT		Group Art Unit	3738
(Use as many sh	eets as nec	essary)		Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	7	of	33	Attorney Docket No.	02748P0044US

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²					
PP 	Α	Trial Transcript from November 6, 2000 at 185-90 and 235-36 (Attorneys' opening remarks regarding '984 patent)						
	В	Trial Transcript from November 7, 2000 at 274-301, 307-315, 320-28 and 332 (Cordis expert testimony regarding the Palmaz-Schatz stent); 370-379, 480-496 (J. Palmaz testimony regarding the Palmaz-Schatz stent, the '984 patent and the connected z-stent art)						
	С	Trial Transcript from November 8, 2000 at 547-63, 657-63, 674-722, 782-85 (Cordis expert testimony regarding the Palmaz-Schatz stent, the '984 patent and the connected z-stent art)						
	D	Trial Transcript from November 9, 2000 at 819-23, 921 (Cordis expert testimony regarding the '984 patent); 926-941. (R. Croce testimony re Palmaz-Schatz stent); 1033-1053. (R. Schatz testimony)						
	Е	Trial Transcript from November 13, 2000 at 1086-1134. (R. Schatz testimony); 1275-1305 (Cordis expert testimony regarding the '984 patent)						
	F	Trial Transcript from November 14, 2000 at 1390-1404, 1448-1454, 1486-1500 (Cordis expert testimony regarding the '984 patent)						
	G	Trial Transcript from November 15, 2000 at 1686-87, 1724-42, 1828-34, 1850-54, 1887-92 (AVE expert testimony regarding the '984 patent)						
	Н	Trial Transcript from November 16, 2000 at 2077-198 (AVE expert testimony regarding the alleged obviousness of the '984 patent)						
\bigvee	I	Trial Transcript from November 17, 2000 at 2331-34 (jury instructions as to the meaning of the limitations of the claims of the '984 patent)						
Examiner Signature		Date Considered						

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Infornation Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/689.579 Application Number Substitute for form 1449A/PTO Filing Date October 20, 2003 INFORMATION DISCLOSURE Julio C. Palmaz First Named Inventor STATEMENT BY APPLICANT 3738 Group Art Unit (Use as many sheets as necessary) 2120 Confirmation No. Examiner Name Paul B. Prebilic 8 33 02748P0044US Sheet of Attorney Docket No.

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²					
PP	J	rial Transcript from November 20, 2000 at 2441-48, 2499-2500, 2546-50, 2552-56 Attorneys' closing arguments regarding the '984 patent)						
	К	Trial Transcript from November 21, 2000 at 2592-94 (reading of jury verdict)						
	L	Trial Transcript from December 18, 2000 at 2750-95 (Cordis expert testimony regarding the Palmaz-Schatz stent during the damages phase)						
	М	Trial Transcript from December 20, 2000 at 3421-88 (AVE expert testimony regarding the Palmaz-Schatz stent during the damages phase)						
	N	Jury verdict, dated November 21, 2000						
	0	District Court decisions on post-trial motions (194 F. Supp. 2d 323)						
	P	Court of Appeal for the Federal Circuit decision (339 F.3d 1352)						
	Q	Trial Transcript from March 4, 2005 at 133-135, 171-173 and 192-96 (Attorney's opening remarks regarding '984 validity)						
	R	Trial Transcript from March 7, 2005 at 275-311 (Cordis expert testimony regarding the Palmaz-Schatz stent); 342-46, 353-59, 416-425 (J. Palmaz testimony regarding the Palmaz-Schatz stent, the '984 patent and the connected z-stent art); 430-449, 452-58, 462-492 (R. Croce testimony regarding the Palmaz-Schatz stent); 500-507 (Cordis expert testimony regarding the '984 patent)						
	S	Trial Transcript from March 8, 2005 at 609 (Cordis expert testimony regarding the '984 patent); 628-73, 724-740, 773, 801-839 (Cordis expert testimony regarding the '984 patent, the prior art and the Palmaz-Schatz stent)						
	Т	Trial Transcript from March 9, 2005 at 936-49, 968-69 (Cordis expert testimony regarding the '984 patent, the prior art and the Palmaz-Schatz stent)						
Examiner Signature		Date Considered						

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of Explanates unique channel designation training (optional). Applicant is to piace a cnew mark never in English language Translation is attached. This collection is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449A/PTO				Application Number	10/689,579
Substitute for form 1445AFTO				Filing Date	October 20, 2003
INFORMATION	•		÷	First Named Inventor	Julio C. Palmaz
STATEMENT E	Y APPL	ICANT		Group Art Unit	3738
(Use as many she	ets as nece	essary)		Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	9	of	33	Attorney Docket No.	02748P0044US

· · · · · · · · · · · · · · · · · · ·		OTHER PRIOR ART - NON PATENT LITERATURE DO	CUMENTS						
Examiner Initials*	Cite No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.						
PP	Trial Transcript from March 10, 2005 at 1427-74, 178-1509, 1514-23 (AVE expert testimony regarding the alleged obviousness of the '984 patent); 1566-93 (AVE expect testimony regarding Palmaz-Schatz stent); 1634-49 (R. Schatz testimony)								
	V	Trial Transcript from March 11, 2005 at 1846-47, 1891- arguments regarding '984 obviousness)	Trial Transcript from March 11, 2005 at 1846-47, 1891-1900, 1919 (Attorneys' closing arguments regarding '984 obviousness)						
	W	Trial Transcript from March 14, 2005 at 1964-67 (readin	g of jury verdict)					
	Х	Jury verdict dated March 14, 2005							
	Y	Medtronic Vascular Inc.'s Opening Brief in Support of It Infringement Claim dated April 19, 2005	Medtronic Vascular Inc.'s Opening Brief in Support of Its Motion for Judgment As A Infringement Claim dated April 19, 2005						
	Z	Medtronic Vascular Inc.'s Opening Brief in Support of It April 19, 2005	Medtronic Vascular Inc.'s Opening Brief in Support of Its Motion for a New Trial dated April 19, 2005						
	AB	D.I. 1407, Cordis' Combined Answering Brief In Opposition JMOL on Infringement of the Palmaz '762 and Schatz's New Trial dated May 5, 2005							
	AC	D.I. 1414, Medtronic Vascular Inc.'s Combined Reply Brief In Support of Its Motion for Judgment as a Matter of Law on Cordis Corp.'s Patent Infringement Claims and Its Motion for a New Trial							
V	AD	Trial Transcript from February 8, 2001 at 372-412, 449-469 (B. Tobor testimony regarding the prosecution of the '417, '984 and '332 patents); 510-13 (J. Milnamow testimony regarding the prosecution of the '332 patent); 558-604 (J. Palmaz testimony regarding the prosecution of the '417, '984 and '332 patents and the prior art)							
Examiner Signature			Date Considered		·				

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

constuered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner For Patents, P.O. Box.1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/689.579 Application Number Substitute for form 1449A/PTO Filing Date October 20, 2003 INFORMATION DISCLOSURE Julio C. Palmaz First Named Inventor STATEMENT BY APPLICANT Group Art Unit 3738 (Use as many sheets as necessary) 2120 Confirmation No. **Examiner Name** Paul B. Prebilic 02748P0044US 10 of 33 Attorney Docket No. Sheet

		OTHER PRIOR ART - NON PATENT LITERATURE DO	CUMENTS		,				
Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when ap journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), p	propriate), title of the it ublisher, city and/or cou	cm (book, magazine, intry where published.	т				
PP	AE	Trial Transcript from February 9, 2001 at 637-45, 662-67 regarding the prior art); 699-742 (R. Schatz testimony); 7 testimony regarding prior art)							
	AF	D.I. 1067, Medtronic AVE, Inc.'s Post-Trial Brief Relating to the Unenforceability of the '762 and '984 Patents Due to Inequitable Conduct							
	AG		O.I. 1077, Cordis' Combined Answering Brief in Opposition to AVE's BSC's Post- Hearing Briefs on Alleged Inequitable Conduct Concerning the '762, '984 and '332 Patents						
	АН		D.I. 1089, Reply Brief In Support of Medtronic AVE, Inc.'s Contention that the '762 and '984 Patents are Unenforceable Due to Inequitable Conduct dated May 7, 2001						
	AI	C.A. No. 00-886-SLR, Answer and Counterclaims of Def. Medtronic AVE, Inc. To First Amended Complaint of Plaintiff Cordis Corp.							
	AJ	BSC's Opening Post-Trial Brief in Support of Its Defens Unenforceable, dated March 16, 2001	e That the Paten	ts in Suit Are					
	AK	Reply Brief in Support of BSC's Defense That the Paten dated May 7, 2001	ts in Suit Are Ur	nenforceable,					
	AL	Court's Decision on allegations of inequitable conduct (194 F. Supp. 2d	323)					
	AM	Trial Transcript from November 21, 2000 at 155-57 and 180-84 (Attorneys' opening remarks regarding '332 patent)							
\bigvee	AN	Trial Transcript from November 27, 2000 at 227-51, 260-300 (Cordis expert testimony regarding the Palmaz-Schatz stent); 343-60, 363-67, 424-33 (J. Palmaz testimony regarding the Palmaz-Schatz stent and the '332 patent)							
xaminer ignature			Date Considered						

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449A/PTO	-			Application Number	10/689,579	
Substitute for form 1449APTO				Filing Date	October 20, 2003	
INFORMATIO				First Named Inventor	Julio C. Palmaz	
STATEMENT	BY APPI	LICANT		Group Art Unit	3738	
(Use as many si	eets as neces	sary)		Confirmation No.	2120	
				Examiner Name	Paul B. Prebilic	
Sheet	11	· of	33	Attorney Docket No.	02748P0044US	

	r	OTHER PRIOR ART - NON PATENT LITERATURE DO	CUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when a journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), p	opropriate), title of the item (book, magazine, bublisher, city and/or country where published.	T;				
PP	AO	Trial Transcript from November 28, 2000 at 649-71						
	AP	Trial Transcript from November 29, 2000 at 791-816, 859-870, 953-62 (Cordis expert testimony regarding the '332 patent and the Palmaz-Schatz stent)						
	AQ	Trial Transcript from November 30, 2000 at 1018 (Cordis expert testimony regarding the '332 patent); 1062-80, 1108-1111 (R. Croce testimony regarding the Palmaz-Schatz stent); 1169-70, 1205-17, 1236-45 (Cordis expert testimony regarding the '332 patent)						
	AR	Trial Transcript from December 1, 2000 at 1352-54 (Cordis expert testimony regarding the '332 patent); 1364-1442 (R. Schatz testimony); 1493-1508, 1552-69 (BSC expert testimony regarding the '332 patent and the Palmaz-Schatz stent)						
	AS	Trial Transcript from December 4, 2000 at 1602-12, 1638-51, 1713-14, 1730-61, 1811-14, 1823-36 (BSC expert testimony regarding the alleged obviousness of the '332 patent, the prior art and the Palmaz-Schatz stent)						
	AT	Trial Transcript from December 6, 2000 at 2318-27, 234 regarding the '332 patent)	Trial Transcript from December 6, 2000 at 2318-27, 2342-58 (BSC expert testimony regarding the '332 patent)					
	ΑŬ	Trial Transcript from December 7, 2000 at 2549-52 (Cordis expert testimony regarding the '332 patent); 2575-2579, 2591-92, 2630-31, 2649, 2669-71, 2684-85, 2688, 2708-10, 2725-27 (Attorney closing argument regarding '332 patent); 2742-46 (jury instructions as to the meaning of the limitations of the claims of the '332 patent)						
	AV	Trial Transcript from December 11, 2000 at 2817-22 (reading of jury verdict)						
	AW	Jury verdict, dated December 11, 2000						
V	AX	District Court decisions on post-trial motions (194 F. Supp. 2d 323)						
Examiner Signature			Date Considered					

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 14404/BTO	Substitute for form 1449A/PTO				10/689,579
Substitute for form 1449/07 TO				Filing Date	October 20, 2003
INFORMATIO				First Named Inventor	Julio C. Palmaz
STATEMENT	BY APPL	ICANT		Group Art Unit	3738
(Use as many sh	eels as neces.	sary)		Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	12	of	33	Attorney Docket No.	02748P0044US

		OTHER PRIOR ART - NON PATENT LITERATURE DO	CUMENTS							
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when a journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), p	opropriate), title of the it sublisher, city and/or cou	tem (book, magazine, untry where published.	T²					
PP	AY	D.I. 699, Motion by Defendant BSC and Scimed Life Sy Judgment of Invalidity of U.S. Patent No. 5,902,332 date		•						
	ΑZ	D.I.896, Order Denying Motion for Summary Judgment of Invalidity and Unenforceability of Claims 1, 3, and 5 of the U.S. Patent No. 5,902,332 Denying {699-1} Motion for Summary Judgment of Invalidity of U.S. Patent No. 5,902,332 dated October 12, 2000								
	ВА	Wright et al., Percutaneous Endovascular Stent: An Experimental RSNA Meeting (11/28/84)	Vright et al., Percutaneous Endovascular Stent: An Experimental Study (Abstract), SNA Meeting (11/28/84)							
	ВВ	Hearing Transcript from February 10, 1998 at 122-32, 146-80 (Attorneys' opening remarks regarding '417 patent); 180-312 (R. Schatz testimony) [PORTIONS OF THIS TRANSCRIPT HAVE BEEN REMOVED AS CONFIDENTIAL]								
	вс	Hearing Transcript from February 11, 1998 at 427-575, 577-651 (Cordis expert testimony regarding the '417 patent, the prior art and the Palmaz-Schatz stent)								
	BD	regarding the '417 patent, the Palmaz-Schatz stent and th	Hearing Transcript from February 12, 1998 at 660-772 (Cordis expert testimony regarding the '417 patent, the Palmaz-Schatz stent and the prior art). [PORTIONS OF THIS TRANSCRIPT HAVE BEEN REMOVED AS CONFIDENTIAL]							
	BE	Hearing Transcript from February 13, 1998 at 1121-1261 (Guidant expert testimony regarding the alleged obviousness of the '417 patent, the prior art and the Palmaz-Schatz stent). [PORTIONS OF THIS TRANSCRIPT HAVE BEEN REMOVED AS CONFIDENTIAL]								
	BF	Order by J. Robinson denying Cordis' Motion for a Preliminary Injunction Against ACS dated July 17, 1998								
\bigvee	BG	ACS, Inc.'s and Guidant Corp.'s Opening Brief in Support of Their Motion for Summary Judgment of Invalidity of U.S. Patent No. 5,102, 417 dated August 27, 1998								
Examiner Signature		·	Date Considered							

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449A/PTO				Application Number	10/689,579
				Filing Date	October 20, 2003
INFORMATIO				First Named Inventor	Julio C. Palmaz
STATEMENT	BY APPL	ICANT		Group Art Unit	3738
(Use as many sh	eets as neces:	sary) .		Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	13	of	33	Attorney Docket No.	02748P0044US

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.						
PP	вн	Plaintiffs' Answering Brief in Opposition to ACS' and BSC's Motion for Summary Judgment on Obviousness dated September 24, 1998						
	ві	Order dated March 31, 2000						
	ВЈ	Schatz Deposition Testimony; May 15, 1996: 79-83, 89-92, 105-107 and 153-161						
	вк	Schatz Deposition Testimony; May 16, 1996: 555-564, 569-572						
	BL	Schatz Deposition Testimony; January 18, 1998: 67-73, 108-110						
	ВМ	Schatz Deposition Testimony; July 14, 1998: 69-77, 108-112, 119-123						
	BN	Schatz Deposition Testimony; July 12, 1999: 88-91, 132-135, 144-149, 218-223, 231-242						
	во	Schatz Deposition Testimony; July 13, 1999: 251-334, 339-345, 374-416						
	ВР	Schatz Deposition Testimony; July 14, 1999: 454-550						
	BQ	Schatz Deposition Testimony; July 15, 1999: 560-614						
	BR	Schatz Deposition Testimony; December 2, 1999: 906-911, 928-942, 945-963, 976-978, 1029-1034, 1038-1042						
	BS	Palmaz Deposition Testimony, November 5, 1991: 160-172						
	ВТ	Palmaz Deposition Testimony, February 5, 1995: 710-727						
	BU	Palmaz Deposition Testimony, July 16, 1998: 55-56; 81-82						
V	BV	Palmaz Deposition Testimony, July 28, 1999: 560-568, 570-579						
Examiner Signature		Date Considered						

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

^{*}EXAMINEK: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Comparable to Form PTO/SB/08A (08-03

Approved for use through 0731/2006 OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO				Application Number	10/689,579
				Filing Date	October 20, 2003
INFORMATION	•			First Named Inventor	Julio C. Palmaz
STATEMENT F	BY APPL	ICANT		Group Art Unit	3738
(Use as many sho	ets as neces.	sary)		Confirmation No.	2120
			_	Examiner Name	Paul B. Prebilic
Sheet	14	of	33	Attorney Docket No.	02748P0044US

		OTHER PRIOR ART - NON PATENT LITERATURE DO	CUMENTS		
Examiner Initials*			opropriate), title of the it publisher, city and/or cou	em (book, magazine, untry where published.	T²
PP I	BW	Palmaz Deposition Testimony, July 29, 1999: 778-785			
	вх	Palmaz Deposition Testimony, August 31, 1999: 1403-1	452		
	BY	Palmaz Deposition Testimony, September 2, 1999: 1953	-1960		
	BZ	Palmaz Deposition Testimony, October 14, 1999: 2201-2	2209; 2275-2342	2; 2371-2411	
	CA	Palmaz Deposition Testimony, October 15, 1999: 2424-2	2497; 2508-2589)	
	СВ	Palmaz Deposition Testimony, October 16, 1999: 2853-2	2860		
	СС	Tobor Deposition Testimony, June 17, 1999: 837-958			
	CD	Tobor Deposition Testimony, June 18, 1999: 1095-1184	1		
	CE	Tobor Deposition Testimony, December 1, 1999: 1217-	1371		
	CF	Tobor Deposition Testimony, December 2, 1999: 1398-	1414; 1444-150	8; 1532-1548	
	CG	Tobor Deposition Testimony, December 3, 1999: 1652-	1653; 1662-1672	2; 1683-1694	
	СН	Kula Deposition Testimony, April 20, 1999: 268-169			
	CI	Kula Deposition Testimony, November 16, 1999: 660-6	75; 680-694; 7-	8-755; 774-821	
V	CJ	Kula Deposition Testimony, November 18, 1999; 176-2	23		
Examiner Signature		·	Date Considered		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

	<u> </u>				The state of the s
Substitute for form 1449A/PTO	·			Application Number	10/689,579
Substitute for form 1449A/P1O				Filing Date	October 20, 2003
INFORMATIO			•	First Named Inventor	Julio C. Palmaz
STATEMENT BY APPLICANT				Group Art Unit	3738
(Use as many st	ieets as necess	ary)		Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	15	of	33	Attorney Docket No.	02748P0044US

		OTHER PRIOR ART - NON PATENT LITERATURE DO	CUMENTS	
Examiner Initials*	Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, ma journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where p			
PP CK Expert Report of Dr. Rodney S. Badger on Behalf of Medtronic AVE, Inc. (Jan 2000)		dtronic AVE, Inc. (January 31,		
	CL	Expert Report of Dr. Joseph Bonn on Behalf of Medtron	ic AVE, Inc. (January 31, 2000)	
	СМ	Deposition of Dr. Joseph Bonn dated March 14, 2000		
	CN	Rebuttal Expert Report of Nigel Buller, B.Sc., M.B., F.R	C.P. (March 2000)	
	СО	Second Supplemental Rebuttal Expert Report of Nigel B (August 17, 2004)	uller, B.Sc., M.B., F.R.C.P.	
	СР	Rebuttal Expert Report of John M. Collins, PH.D. (Febru	uary 2000)	
	cQ	Expert Report of David C. Cumberland, M.D. (January 2	24, 2000)	
	CR	Expert Report of John T. Goolkasian (February 2000)		
	cs	Deposition of Richard R. Heuser, M.D. (September 7, 20	004)	
	СТ	Deposition of Henry R. Piehler (September 10, 2004)	·	
	CU	Deposition of Ronald J. Solar (March 22, 2000)		
	CV	Deposition of Ronald J. Solar (March 23, 2000)		
	cw	Deposition of Ronald J. Solar (April 12, 2000)		
\bigvee	СХ	Expert Report of Dr. Arina Van Breda on Behalf of Med 2000)	tronic AVE, Inc. (January 31,	
Examiner Signature			Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

				Application Number	10/689,579
Substitute for form 1449A/PTO				Filing Date	October 20, 2003
INFORMATIO				First Named Inventor	Julio C. Palmaz
STATEMENT BY APPLICANT				Group Art Unit	3738
(Use as many sh	eels as necess	sary)		Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	16	of	33	Attorney Docket No.	02748P0044US

		OTHER PRIOR ART - NON PATENT LITERATURE DO	CUMENTS		
Examiner Initials* Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		em (book, magazine, intry where published.	T ²		
PP	CY	Deposition of Arina Van Breda (March 24, 2000			
	CZ	Deposition of Arina Van Breda (August 21, 2004)			
	DA	Expert Report of John F. Witherspoon (January 24, 2000)))		
	DB	Supplemental Expert Report of John F. Witherspoon (Oc	ctober 27, 2000)		
	DC	Deposition of John F. Witherspoon (March 8, 2000)			
	DD	Palmaz et al., Article: Normal and Stenotic Renal Arterio Expandable Intraluminal Stenting", Radiology, Septemb			
	DE	Julio C. Palmaz, Article: "Expandable vascular endopros	sthesis." (AVE 1	132)	
	DF	Duprat et. al., Article: Flexible Balloon-Expandable Ster al. Radiology, Vol. 162, pgs. 276-278, 1987. (AVE 134)		sels" Duprat et.	
	DG	Coons et. al., Article: "Large-Bore, Long Biliary Endopr Improved Drainage," Radiology, Vol. 148, pgs. 89-94, 1			
	DH	Honickman et al., Article: "Malpositioned Biliary Endor Developments And Instrumentation," Vol. 144, No. 2., 1			
\bigvee	DI	Harries-Jones, et al., Article: "Repositioning of Biliary E Balloon Catheters," AJR, Vol. 138, pgs. 771-772, 1982.		vith Gruntzig	
Examiner Signature			Date Considered		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

^{&#}x27;Applicant's unique citation designation number (optional). 'Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449A/PTO			Application Number	10/689,579	
Substitute for form 1449A/P1U				Filing Date	October 20, 2003
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Julio C. Palmaz
STATEMENT					3738
(Use as many sh	eets as necess	sary)		Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	17	of	33	Attorney Docket No.	02748P0044US

		OTHER PRIOR ART - NON PATENT LITERATURE DO	CUMENTS			
Examiner Initials*			em (book, magazine, intry where published.	T²		
Charnsangavej et al., Article "Stenosis of the Vena Cava Treatment with Expandable Metallic Stents," Radiology (AVE 359)						
	DK	Article "Tracheobronchial Tree: Expandable Metallic Sto Clinical Applications," Radiology, Vol. 158, pgs. 309-31				
	DL	T. Yoshioka, et al., AJR Article: "Self-Expanding Endo Experimental Study in Dogs", Vol 151, pgs. 673-676, 19		An		
	DM	Article: "Expandable Intraluminal Vascular Graft: A Fea 99, pgs. 199-205, 1986. (AVE 461)	sibility Study," S	Surgery, Vol.		
	DN	Lawrence et al., Article: "Percutaneous Endovescular Graft: Experimental Evaluation." Radiology, Vol. 163, pgs. 357-360, 1987. (AVE 671)				
	DO	Palmaz et al., Article: Expandable Intraluminal Graft: A 22/1985, Radiology, Vol.156, pgs. 73-77, 1985. (AVE 1		dy, 11/17-		
	DP	Fallone et al., "Elastic Characteristics of the Self-Expand Investigative Radiology, Vol. 23, pgs. 370-76, 1988. (A		ents,"		
	DQ	Palmaz Paper Entitled "Research Project Expandable Va	scular Endopros	thesis"		
\bigvee	DR	Rousseau, et al., Publication: "Percutaneous Vascular Stent: Experimental Studies & Preliminary Clinical Results in Peripheral Arterial Diseases," in Inter. Angio, Vol. 6, 153-161, 1987. (AVE 3301)				
Examiner Signature			Date Considered			

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

C. haring for form 1440A/DTO				Application Number	10/689,579
Substitute for form 1449A/PTO				Filing Date	October 20, 2003
INFORMATION				First Named Inventor	Julio C. Palmaz
STATEMENT B	STATEMENT BY APPLICANT				3738
(Use as many she	eis as neces:	sary)		Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	18	of	33	Attorney Docket No.	02748P0044US

		OTHER PRIOR ART - NON PATENT LITERATURE DO	CUMENTS	·····	.,
Examiner Initials*	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. No.1				T ²
PP	DS	Rousseau, et al., Publication: "Self-Expanding Endovase Experimental Study," Radiology, Vol.164, pgs. 709-714,			
	DT	Wallace, et al., Article: "Tracheobronchial Tree: Expand Experimental and Clinical Applications," Radiology, Vo (DBX 2938)			
	DU Palmaz et al., Article: "Expandable Intraluminal Graft: A Preliminary Study," Radiology, Vol.156, pgs. 73-77 (DBX 4595)				
	DV	Program for the 12th Annual Course on Diagnostic Angi Radiology 3/23-26/87 sponsored by The Society of Card Radiology (DBX 6235)			
	DW	Preliminary Motion for Judgment re: Wolff claims 1, 2-8	3, 10, 15 and 19	(DBX6759)	
	DX	Palmaz Declaration (DBX 7069)			
	DY	Letter from Gaterud to Dr. Palmaz dated July 5, 1988 wi "Segmented, balloon-expandable stents." (DBX 7160)		ment entitled:	
	DZ	Duprat et al., Article: "Flexible Balloon-Expandable Ster Radiology, Vol. 168, pgs. 276-278, 1987 (PX 82)	nt For Small Ves	ssels,"	
	EA	Drawing Sent to Bodic on March 17, 1986 (PX 374)			
\bigvee	EB	Letter from Dr. Palmaz to R. Bowman enclosing a mode dated March 17, 1986 (PX 337)	l of the flexible	coronary graft	
Examiner Signature			Date Considered		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449A/PTO			Application Number	10/689,579	
				Filing Date	October 20, 2003
INFORMATIO				First Named Inventor	Julio C. Palmaz
STATEMENT	BY APPL	ICANT		Group Art Unit	3738
(Use as many sh	eets as necess	sary)		Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	19	of	33	Attorney Docket No.	02748P0044US

		OTHER PRIOR ART - NON PATENT LITERATURE DOC	CUMENTS		
Examiner Initials*			propriate), title of the item (book, magazine, ublisher, city and/or country where published.	Т2	
PP EC Lab Notebook pages dated July 30, 1987 from Rodn 621A)		Lab Notebook pages dated July 30, 1987 from Rodney W 621A)	/olff (COR 185596-597) (PX		
	ED	Palmaz et al., "Expandable Intraluminal Graft: A Prelimi Radiology, Vol. 156, No. 1, pgs. 73-77, 1985. (API 33)	nary Study Work in Progress,		
	EE	Charnsangavej, et al., Article: "Stenosis of The Vena Car Treatment with expandable Metallic Stents," Radiology, with attached photographs, 1986. (API 72)			
	EF	J. Palmaz: The Current Status of Vascular Prostheses, pu Annual Course on Diagnostic Angiography And Interven 1987. (API 73)			
ŀ	EG	Amendment in Response to Office Action of October 18, 1998 in re: Application of Julio Palmaz S/N 174,246. (API 152)			
	ЕН	Article: Wallace, et al., Tracheobronchial Tree: Expanda Experimental and Clinical Applications Work In Progres 309-312. (API 295)			
	EI	Reply of Senior Party Schatz To Patentee Wolff's Opposition To The Belated Motion For Judgment Of Applicant Schatz With Regard To Wolff Claims 1, 2-8, 10, 11, 13-17, And 19 (COR 186450-455) (API 310)			
	EJ	Brief Of Senior Party Schatz At Final Hearing (API 313)			
	EK	Copy of Letter from Ron Sickles to Ben Tobor dated 2/1	0/88 (Exhibit 42)		
\forall	EL	Copy of Letter from R.O. Sickles to Mike Tatlow dated	5/12/88 (Exhibit 43)		
Examiner Signature			Date Considered		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449A/PTO				Application Number	10/689,579
				Filing Date	October 20, 2003
INFORMATIO				First Named Inventor Julio C. Palmaz	
STATEMENT I	BY APPL	ICANT		Group Art Unit	3738
(Use as many sho	ets as necess	sary)		Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Shect	20	of	33	Attorney Docket No.	02748P0044US

		OTHER PRIOR ART - NON PATENT LITERATURE DO	CUMENTS		,			
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when a journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), page(s), page	opropriate), title of the it oublisher, city and/or cou	em (book, magazine, untry where published.	T²			
PP	EM	Copy of Letter from R. O. Sickles to Richard Schatz date	ed 6/2/88 (Exhib	it 44)				
	EN	Copy of Letter from Richard Schatz to Raimund Erbel d	ated 6/3/88 (Exl	hibit 45)				
	EO	Copy of Letter from Richard Schatz to Mike Schuler dat	ed 8/29/91 (Exh	nibit 48)				
	EP	Minutes of J&J Stent Project Review Meeting dated 1/2	1/88 (Exhibit 7)					
	ER	Preliminary Motion for Judgment with Regard to Wolff and 19. (Exhibit 67)	Claims 1, 2-8, 10	0, 11, 13-17,				
	ES	Declaration of Richard A Schatz. (Exhibit 75)	Declaration of Richard A Schatz. (Exhibit 75)					
	ET	Belated Motion for Judgement with Regard to Wolff Cla 19. (Schatz - Exhibit 77)	iims 1, 2-8, 10, 1	1, 13-17 and				
	EU	Letter from Dr. Schatz to Mr. Tobor, dated 6/3/88. (Exh	ibit 122)					
	EV	Letter from Dr. Schatz to Mr. Romano, dated 11/28/88.	(Exhibit 131)					
	EW	Letter from Mr. Sickles to Mr. Tobor, dated 2/10/88 (Ex	(hibit 145)					
	EX	Richard A. Schatz, Article titled: "A View of Vascular S No.2, pgs. 445-457, 1989. (Exhibit 194)	tents" Circulation	on, Vol 79,				
\downarrow	EY	Senior Party Schatz's reply to Patentee Wolff's Oppositi Applicant Schatz for judgment with regard to Wolff Cla (Exhibit 69)	Senior Party Schatz's reply to Patentee Wolff's Opposition to the Preliminary Motion Of Applicant Schatz for judgment with regard to Wolff Claims 1, 2-8, 10, 11, and 13-17.					
Examiner Signature		·	Date Considered					

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE				Application Number	10/689,579
				Filing Date	October 20, 2003
				First Named Inventor Julio C. Palmaz	
STATEMENT E	SY APPI	ICANT		Group Art Unit 3738	
(Use as many she	ets as neces	sary)		Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	21	of	33	Attorney Docket No.	02748P0044US

			OTHER PRIOR ART - NON PATENT LITERATURE DO	CUMENTS				
	niner ials*			•	T²			
P:	P	EZ	Wallace, et al., Article: "Tracheobronchial Tree: Expand Experimental and Clinical Applications Work In Progret 309-312, 1986. (Exhibit 165)					
		FA	1	Charnsangavej, et al., Article: "Stenosis of The Vena Cava Prelimimnary Assessment of Treatment with expandable Metallic Stents," Radioloby, Vol. 161, No. 2, pgs. 295-298 with attached photographs, 1986. (Exhibit 167)				
		FB	David D. Lawrence et al., Publication: "Percutaneous Endovascular Graft: Experimental Evaluation, Radiology, pgs. 163, 357-360, 1987. (Exhibit 173)					
		FC	Charles E. Putnam, M.D., Cover and article from "Investigative Radiology", Vol. 23. No. 5, May 1988. (Exhibit 177)					
		FD	Robert N. Berk, Cover and article from "American Journ 676, 1988. (Exhibit 178)	nal of Roentolog	y", pgs, 673-			
		FE	Declaration of John S. Kula Under 37 CFR § 1.672. (K	ula - Exhibit 77))			
		FF	Yoshioka et al.; Article: "Self-Expanding Endovascular Dogs" AJR, Vol. 151, pgs. 673-676, 1988. (PX 100)	Graft: An Exper	imental Study in			
	/	FG	Palmaz, et al., Article: "Expandable Intraluminal Graft: A Preliminary Study Work in Progress ¹ , Radiology, Vol. 156, No.1, pgs. 73-77, 1985. (PX 101)					
V		FH	Declaration of Richard Schatz Under 37 C.F.R.§ 1.672.	(PX 106)				
Exam Signa				Date Considered				

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

G 1				Application Number	10/689,579
Substitute for form 1449A/PTO				Filing Date	October 20, 2003
INFORMATION				First Named Inventor	Julio C. Palmaz
STATEMENT B	Y APPL	ICANT		Group Art Unit	3738
(Use as many she	eis as neces.	sary)		Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	22	of	33	Attorney Docket No.	02748P0044US

		OTHER PRIOR ART - NON PATENT LITERATURE DO	CUMENTS					
Examiner Initials*			opropriate), title of the it oublisher, city and/or cou	em (book, magazine, intry where published.	T²			
PP FI		Charnsangavej et al., Article: "Stenosis of the Vena Cave: Preliminary Assessment of Treatment with Expandable Metallic Stents," Radiology, Vol. 161, pgs. 295-298, 1986. (PX 143)						
	FJ	Wallace, et al., Article: "Tracheobronchial Tree: Expand Experimental and Clinical Applications Work in Progres 309-312, 1986." (PX 144)						
	FK	Gina Kolata, News Article: NY Times, "Devices That Opens Clogged Arteries Gets a Falling Grade in a New Study", pgs. 16-18, January 3, 1991. (PX 186)						
	FL	Duprat, et al., Article: "Flexible Balloon- Expanded Stent for Small Vessels Work in Progress!", Radiology, Vol.162, pgs. 276-278, 1987. (PX 207)						
	FM	Letter from Palmaz to Bowman dated March 17, 1986.	(PX 350)		<u> </u>			
	FN	Memo re: Minutes of Stent Project Review- San Antonia	a- March 15, 198	88. (PX 651)				
	FO	Kuntz, et al., Article: Clinical Cardiology Frontiers: "De Newer Clinical and Angiographic Paradigms", Circulation pgs. 1310-1323. (PX 854)						
	FP	Belated Motion for Judgment with regard to Wolff Claims1, 2-8, 10, 11, 13-17, and 19. (PX 1410)						
V	FQ	Drawing of Sprial Stent (sent to Bodic 3/17/1986). (PX	2933)					
Examiner Signature			Date Considered					

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ¹Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

C. L C. C LAGA IDTO				Application Number	10/689,579	
Substitute for form 1449A/PTO		•		Filing Date	October 20, 2003	
INFORMATIO				First Named Inventor	Julio C. Palmaz	
STATEMENT BY APPLICANT (Use as many sheets as necessary)				Group Art Unit	3738	
				Confirmation No.	2120	
				Examiner Name	Paul B. Prebilic	
Sheet	23	of	33	Attorney Docket No.	02748P0044US	

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
PP	FR	Wright et al., Article: "Percutaneous Endovascular Stents: An Experimental Evaluation Radiology, Vol. 156, pgs. 69-72, 1985. (PX 3093)	
	FS	Charnsangavej et al., Article: "A New Expandable Metallic Stent for Dilation of Stenotic Tubular Structures: Experimental and Clinical Evaluation," Houston Medical Journal, Vol. 3, pgs. 41-51, 1987. (PX 3207)	
	FT	In re Application of Wiktor, Appln. No. 69,636, Response to Office Action dated 3/17/1988. (PX 3236)	
	FU	Transmittal Letter of Response to First Office Action in '417 patent. (PX 3993)	
	FV	Letter from B. Tobor to R. Schatz dated July 23, 1991. (PX 3996)	
	FW	Mullins et al., Article: "Implantation of balloon-expandable intravascular grafts by catherization in pulmonary arteries and systemic veins," Circulation, Vol. 77, No. 1, pgs. 188-189, 1988. (PX 4049)	
	FX	Schatz et al., Article: "Intravascular Stents for Angioplasty," Cardio, 1997. (PX 4050)	
	FY	Schatz et al., Article: "New Technology in Angioplasty Balloon-Expandable Intravascular Stents, New Developments in Medicine," Vol. 2, No. 2 pgs. 59-75, 1987. (PX 4051)	
	FZ	Richard A. Schatz, Article: "Introduction to Intravascular Stents," Cardiology Clinics, Vol. 6, No. 3, pgs. 357-372, 1988. (PX 4052)	
	GA	Richard A. Schatz, Article: "A View of Vascular Stents," Circulation, Vol. 79, No. 2, pgs. 445-457, 1989. (PX 4053)	
\overline{V}	GB	Wang et al., Article: "An Update on Coronary Stents," Cardio, pgs. 177-186, 1992. (PX 4054)	
Examiner Signature		Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application.

Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Cohering for Complete Name				Application Number	10/689,579
Substitute for form 1449A/PTO				Filing Date	October 20, 2003
INFORMATIO				First Named Inventor	Julio C. Palmaz
STATEMENT	BY APPL	ICANT		Group Art Unit	3738
(Use as many s	ieets as neces:	sary)		Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	24	of	33	Attorney Docket No.	02748P0044US

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
PP 	GC	Richard A. Schatz, Article: "New Technology in Angioplasty: Balloon-Expandable Stents," Medicamundi, Vol 33, No. 3, pgs. 112-116, 1988. (PX 4055)	
	GD	Letter from Tobor to Schatz dated September 29, 1988. (PX 1395)	
	GE	Verified Statement of Facts by Unnamed Inventor R.A. Schatz document filed in U.S. Patent and Trademark Office on September 8, 1989. (PX 3677)	
	GF	Declaration of John S. Kula Under 37 CFR § 1.672 (Exhibit 329)	
	GG	Letter to Mike Schular from R.A. Schatz dated August 29, 1991. (Exhibit 402)	
	GH	Articulated, Balloon - Expandable Stents, (DBX 7159)	
	GI	J. Rösch et al., Experimental Intrahepatic Portacaval Anastomosis: Use of Expandable Gianturco Stents, Radiology, Vol. 162, pgs. 481-485, 1987.	
	Gĵ	J. Rösch et al., Modified Gianturco Expandable Wire Stents In Experimental and Clinical Use, Ann Radiol, Vol. 31, No. 2, pgs. 100-103, 1987.	
	GK	J. Rösch et al., Gianturco Expandable Stents In the Treatment of Superior Vena Cava Syndrome Recurring After Vena Cava Syndrome Recurring After Maximum-Tolerance Radiation, Cancer, Vol. 60, pps. 1243-1246, 1987	
	GL	J.E. Gordon, Structures or Why Things Don't Fall Down, Penguin Books, pgs. 45-59, 132-148, 210-244, 377-383	
	GM	Maass et al., Radiological Follow-up of Transluminally Inserted Vascular Endoprostheses: An Experimental Study Using Expanding Spirals, Radiology, Vol. 152, pgs. 659-663, 1984.	
	GN	Argument submitted re EP 86115473 dated January 20, 1995. (AVE 2478)	
₩	GO	Verified Statement of Facts by Julio C. Palmaz dated August 4, 1989. (PX 3662)	
Examiner Signature		. Date Considered	

[•] EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ¹Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Substitute for form 1449A/PTO				Application Number	10/689,579
				Filing Date	October 20, 2003
INFORMATIO				First Named Inventor Julio C. Palmaz	
STATEMENT I	BY APPL	ICANT		Group Art Unit	3738
(Use as many sh	eets as neces:	sary)		Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	25	of	33	Attorney Docket No.	02748P0044US

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS		
Examiner Initials* Cite No.! Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, maga journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where publisher. PP GP Papanicolaou et al., Insertion of a Biliary Endoprosthesis Using A Balloon Dilatation Catheter, Gastrointest Radiology, Vol.10, pgs. 394-396, 1985.		he item (book, magazine, r country where published.	Τ2	
		1 1 2	loon Dilatation	
	GQ	Palmaz et al., Expandable Intraluminal Vascular Graft: A Feasibility Vol. 99, No. 2, pgs. 199-205, 1986.	Study, Surgery,	
	GR	Palmaz et al., Atheroscierotic Rabbit Aortas: Expandable Intralumina Radiology, Vol. 168, pgs. 724-726, 1986.	l Grafting,	
GS Palmaz, The Current Status of Vascular Prostheses; Rösch et al., Gianturco, Expansional Stents in Experimental and Clinical Use, SCIVR, pgs. 118-124, 1987.				
	GT	Rösch et al., Abstract: Modified Gianturco Expandable Wire Stents in Clinical Use, CIRSE, Porto Cervo, Sardinia, May 25-29, 1987.	Experimental and	
	GU	Rösch et al., Gianturco Expandable Wire Stents in the Treatment of S Syndrome Recurring After Maximum-Tolerance Radiation, Cancer, \ 1246, 1987.		
	GV	Mirich et al., Percutaneously Placed Endovascular Grafts for Aortic A Feasibility Study, Radiology, Vol. 170, pgs. 1033-1037, 1989.	neurysms:	
	GW	Dotter, Transluminally-placed Coilspring Endarterial Tube Grafts, Inv. Radiology, Vol. 4, Sept Oct., pgs. 329-332, 1969.	estigative	
	GX	Palmaz et al., Abstract: Expandable Intraluminal Graft: A Preliminary Vol. 153 (P), November 1983: 70th Scientific Assembly and Annual M		
Examiner Signature		Date Considered		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ¹Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449A/PTO		•		Application Number	10/689,579
Substitute for form (449X)F10				Filing Date	October 20, 2003
INFORMATIO				First Named Inventor	Julio C. Palmaz
STATEMENT I	BY APPL	ICANT		Group Art Unit	3738
(Use as many sho	eeis as neces.	sary)		Confirmation No.	2120
		•		Examiner Name	Paul B. Prebilic
Sheet	26	of	33	Attorney Docket No.	02748P0044US

		OTHER PRIOR ART - NON PATENT LITERATURE DO	CUMENTS		, ····			
Examiner Initials*	Cite No.'	Include name of the author (in CAPITAL LETTERS), title of the article (when a journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), page(s), page			T²			
pp GY		Cragg et al., Nonsurgical Placement of Arterial Endoprostheses: A New Technique Using Nitinol Wire, Radiology, Vol. 147, pgs. 261-263, April, 1983.						
	GZ	J. Rösch et al., Gianturco Expandable Stents in Experime "Twelfth Annual Course on Diagnostic Angiography and (Society of Cardiovascular and Interventional Radiology 1987 (the second Monofilament Article).	l Interventional I	Radiology"				
	НА	Uchida et al., Modifications of Gianturco Expandable Wire Stents, AJR, Vol. 150, pgs. 1185-87, 1988.						
	нв	Palmaz, Balloon-Expandable Intravascular Stent, AJR, \	Palmaz, Balloon-Expandable Intravascular Stent, AJR, Vol.1510, pgs.1263-69.					
	НС	Cordis Corporation v. Advanced Cardiovascular Systems, Inc., Guidant Corporation, Arterial Vascular Engineering, Inc., Boston Scientific Corporation and SCIMED Life Systems, Inc., Plaintiff's Complaint, October 23, 1997 (Case No. 97-550-SLR)						
	НD	Arterial Vascular Engineering, Inc. v. Cordis Corporation Expandable-Grafts Partnership, Plaintiff's First Amende Relief of Patent Validity, Unenforceability, Noninfringer Violations, January 27, 1998 (Civil Action No. 97-700)	d Complaint for	Declaratory				
	HE	Arterial Vascular Engineering, Inc. v. Cordis Corporatio Expandable-Grafts Partnership, Cordis Corporation and and Counterclaim, February 27, 1998(Civil Action No. 9	Johnson & Johns					
\bigvee	HF Arterial Vascular Engineering, Inc. v. Cordis Corporation, Johnson & Johnson and Expandable-Grafts Partnership, Expandable-Graft Partnership's Answer, February 27, 1998 (Civil Action No. 97-700-SLR)							
Examiner Signature		·	Date Considered					

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Instrom with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449A/PTO				Application Number	10/689,579
Substitute for form 1449XVFTO				Filing Date	October 20, 2003
INFORMATIO				First Named Inventor	Julio c. Palmaz
STATEMENT	BY APPL	ICANT		Group Art Unit	3738
(Use as many sl	eets as neces:	sary) ·		Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	27	of	33	Attorney Docket No.	02748P0044US

-		OTHER PRIOR ART - NON PATENT LITERATURE DO	CUMENTS		,			
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when ap journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), p			T²			
Expandable-Grafts		Expandable-Grafts Partnership, Reply of Plaintiff Arteria Counterclaims of Defendant Cordis Corporation, March	ar Engineering, Inc. v. Cordis Corporation, Johnson & Johnson and afts Partnership, Reply of Plaintiff Arterial Vascular Engineering, Inc. To of Defendant Cordis Corporation, March 31, 1998 (Civil Action No. 97-					
	нн	Arterial Vascular Engineering, Inc. v. Cordis Corporation Expandable-Grafts Partnership, Reply of Plaintiff Arteria Counterclaims of Defendant Expandable Grafts Partnersh Action No. 97-700-SLR)	al Vascular Engi	neering, Inc. To				
	ні	↑ • • • • • • • • • • • • • • • • • • •	Cordis Corporation v. Advanced Cardiovascular Systems, Inc. and Guidant Corporation, Cordis Corporation's Motion for a Preliminary Injunction, October 8, 1997 (Civil Action No. 97-550)					
	НЈ	Cordis Corporation v. Advanced Cardiovascular Systems Arterial Vascular Engineering, Inc., Boston Scientific Co Cordis's Motion for Preliminary Injunction Against Arte December 29, 1997 (Case No. 97-550-SLR)	orporation and So	CIMED, Inc.,				
	нк	Deposition of R. Schatz, M.D. in Cordis Corporation v. A Systems, Inc., taken on January 8, 1998 (Civil Action No.)		ovascular				
	НL	Deposition of Lee P. Bendel in Cordis Corporation v. Ac Systems, Inc., taken on January 22, 1998 (Civil Action N		ascular				
\bigvee	нм	Deposition of Julio Cesar Palmaz in Cordis Corporation Systems, Inc., taken on December 29, 1997 (Civil Action						
Examiner Signature		·	Date Considered					

[•]EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

this form with next communication to applicant.

Applicant's unique citation designation number (optional).
Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449A/PTO			. –	Application Number	10/689,579
Substitute for form 1449/0710				Filing Date	October 20, 2003
INFORMATION				First Named Inventor	Julio c. Palmaz
STATEMENT B	Y APPL	ICANT		Group Art Unit	3738
(Use as many she	eis as neces.	sary)		Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	28	of	33	Attorney Docket No.	02748P0044US

		OTHER PRIOR ART - NON PATENT LITERATURE DO	CUMENTS		,			
Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when ap journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), p	propriate), title of the ite ublisher, city and/or cou	em (book, magazine, intry where published.	T ²			
PP	HN	Deposition of Richard A. Bowman in Cordis Corporation Systems, Inc., taken on January 9, 1998 (Civil Action No.		ardiovascular				
	HO Deposition of Gary Schneiderman in Cordis Corporation v. Advanced Cardiovascular Systems, Inc., taken on January 16, 1998 (Civil Action No. 97-550 SLR)							
	НР	Deposition of David Pearle, M.D. in Cordis Corporation Systems, Inc., taken on July 10, 1998 (Civil Action No. 9)		rdiovascular				
	НQ	Preliminary Injunction hearing testimony taken on February 9-13, 1998 (Civil Action No. 97-550 SLR)						
	HR	Cordis Corporation v. Advanced Cardiovascular Systems 97-550 SLR) and Cordis Corporation v. Advanced Cardi (Civil Action No. 98-65-SLR), Opening Post Hearing Br Corporation in Support of Motion for Preliminary Injunct relevant to patent claim construction and patent validity	ovascular Systemief of Plaintiff Cotion, March 6, 1	ns, Inc. Et al. Cordis				
	HS	Cordis Corporation and Expandable Grafts Partnership v Systems, Inc. et al., Post-Hearing Reply Brief of Plaintiff of Its Motion for Preliminary Injunction, April 10, 1998 (Portions relevant to patent validity issues)	f Cordis Corpora	tion in Support				
\bigvee	нт	Cordis Corporation and Expandable Grafts Partnership v. Advanced Cardiovascular Systems, Inc. et al., Plaintiff's Motion for a Preliminary Injunction Against Boston Scientific Corporation and SCIMED Life Systems, Inc. And Memorandum in Support, April 13, 1998 (Case No. 97-550-SLR)						
Examiner Signature			Date Considered					

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ¹Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449A/PTO				Application Number	10/689,579
Substitute for form 14438/FTO				Filing Date	October 20, 2003
INFORMATIO				First Named Inventor	Julio C. Palmaz
STATEMENT I	BY APPL	ICANT		Group Art Unit	3738
(Use as many sh	eets as neces.	sary)		Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	29	of	33	Attorney Docket No.	02748P0044US

· · · · · · · · · · · · · · · · · · ·		OTHER PRIOR ART - NON PATENT LITERATURE DO	CUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when a journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), volume-issue number(s), volume-issue number(s), volume-issue number(s), volume-issue number(s), volume-			T²			
Systems, Inc., e			ration and Expandable Grafts Partnership v. Advanced Cardiovascular et al., Judge Robinson's Order Denying Plaintiff's Motion for a njunction, July 17, 1998 (Civil Action No. 97-550 SLR)					
	HV	Cordis Corporation and Expandable Grafts Partnership v. Advanced Cardiovascular Systems, Inc., et al., Defendant Boston Scientific Corporation and SCIMED Life Systems, Inc.'s Motion for Summary Judgment of Invalidity of U.S. Patent No. 5,102,417, August 27, 1998 (Civil Action No. 97-550- SLR)						
	нw	Boston Scientific Limited, et al. v. Expandable Grafts Pa of Claim, March 13, 1997 (UK Action No. 1493)	Boston Scientific Limited, et al. v. Expandable Grafts Partnership, Plaintiffs' Statement of Claim, March 13, 1997 (UK Action No. 1493)					
	нх	Boston Scientific Limited, et al. v. Expandable Grafts Pa Amended Defense and Counterclaim, August 14, 1997 (-					
	нү	Boston Scientific Limited, et al. v. Expandable Grafts Pa Revocation, March 13, 1997 (UK Action No. 1497)	artnership, Petitio	on for				
	HZ	Boston Scientific Limited, et al. v. Expandable Grafts Pa Objections, March 13, 1997 (UK Action No. 1497)	urtnership, Partic	ulars of				
	IA	Boston Scientific Limited, et al. v. Expandable Grafts Pa Limted et al., v. Julio C. Palmaz, Boston's Skeleton Arg 1495, 1496, and 1497)						
\downarrow	IB		Boston Scientific Limited, et al. v. Julio C. Palmaz and Expandable Grafts Partnership, keleton Argument of Palmaz/EGP, March 19, 1998 (UK Action Nos. 1493, 1495, 1496					
Examiner Signature			Date Considered					

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

^{&#}x27;Applicant's unique citation designation number (optional). 'Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449A/PTO			Application Number	10/689,579		
Substitute for form 1443501.10				Filing Date	October 20, 2003	
INFORMATIO				First Named Inventor	Julio C. Palmaz	
STATEMENT 1	BY APPL	ICANT		Group Art Unit	3738	
(Use as many sh	eeis as neces	sary)		Confirmation No.	2120	
				Examiner Name	Paul B. Prebilic	
Sheet	30	of	33	Attorney Docket No.	02748P0044US	

		OTHER PRIOR ART - NON PATENT LITERATURE DO	CUMENTS						
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when a journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), volume-issue number(s), volume-issue number(s), volume-issue number(s), volume-issue number(s), volume-			T²				
PP	IC	Boston Scientific Limited, et al. v. Julio C. Palmaz and I EGP's Final Submissions, April 2, 1998 (UK Action No							
	ID		Boston Scientific Limited, et al. v. Julio C. Palmaz and Expandable Grafts Partnership, Judgment, June 26, 1998 (UK Action Nos. 1493, 1495, 1496 and 1497)						
Rösch, Modified Gianturco Expandable Wire Stents in Experimental and Clinical Use, CIRSE 1987 Presentation: see Witness Statement of Josef Rösch from U.K. Proceeding									
	IF	Statement of Claim by Boston Scientific et al. against Exal., in EPG et al., v. Boston Scientific et al. in Netherland							
	IG	Motion for Joinder of Actions, Change of Claim and Sta Expandable Grafts Partnership et al. in EPG et al. v. Bos Netherlands (April 22, 1997)							
	ІН	Opinion of K.J. Metman filed in EPG et al. v. Boston Sc (August 29, 1997)	ientific et al. in	Netherlands					
	П	Expert report of Dr. Nigel Buller in EPG et al. v. Boston (August 28, 1997)	Scientific et al.	in Netherlands					
	IJ	Expert report of Lee P. Bendel in EPG et al. v. Boston S (August 28, 1997)	cientific et al. in	Netherlands					
V	ΙK	Memorandum of Oral Pleading in EPG et al. v. Boston S (September 12, 1997)	scientific et al. ir	n Netherlands					
Examiner Signature		·	Date Considered						

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

^{&#}x27;Applicant's unique citation designation number (optional). 'Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449A/PTO		,		Application Number	10/689,579
Substitute for form 1449/01 10				Filing Date	October 20, 2003
INFORMATIO				First Named Inventor	Julio C. Palmaz
STATEMENT I	BY APPL	ICANT		Group Art Unit	3738
(Use as many sho	ets as neces:	sary)		Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	31	of	33	Attorney Docket No.	02748P0044US

		OTHER PRIOR ART - NON PATENT LITERATURE DO	CUMENTS					
Examiner Initials* Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, ci					T²			
PP IL		Plea Notes of P.A.M. in EPG et al. v. Boston Scientific e 1998)	et al. in Netherla	nds (March 10,				
	IM	Decision of Court of Appeals in EPG et al. v. Boston Scientific et al. in Netherlands (April 23, 1998)						
	IN	Translation of Nullity Action Against EPO 0 364 787 by	Biotronik in Ge	ermany				
	Ю	Translation of Nullity Action Against EPO 0 335 341 by	Biotronik in Ge	ermany				
	IP	Translation of EPG Response to Nullity Action Against EP 0 364 787 by Biotronik in Germany						
	IQ	Translation of EPG Response to Nullity Action EP 0 335	341 by Biotron	nik in Germany				
	IR	Nullity Suit Against EP-B1-0 335 341 Brought by Bosto	n Scientific in C	Germany				
	IS	Translation of Opposition filed by Terumo Corp. Agains	t Japan Patent N	lo. 2680901				
	ΙΤ	Translation of Decision on Opposition Against Japan Par	tent No. 268090	1				
	IU	Memorandum Order of the Court dated September 7, 20 construction	00, concerning o	disputed claim				
	IV	Translation of Judgment in Nullity Action Against EP 0 Germany	364 787 by Biot	ronik in				
\forall	IW	Translation of Judgment in Nullity Action Against EP 0 Germany	335 341 by Biot	ronik in				
Examiner Signature			Date Considered					

[•]EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case: Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	10/689,579
				Filing Date	October 20, 2003
				First Named Inventor	Julio C. Palmaz
				Group Art Unit	3738
				Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	32	of	33	Attorney Docket No.	02748P0044US

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Τ3				
pp IX		Trial transcript from March 17, 2005 at 171-172, 191-192					
	IY	Trial transcript from March 18, 2005 at 282-285, 325-327, 349-351					
	IZ	Trial transcript from March 21, 2005 at 721-726					
	JA	Trial transcript from March 24, 2005 at 1387					
	JB	Cordis Corporation v. Medtronic Ave., Inc., et al., PROCEEDINGS, CA No. 97550 (SLR), etc., dated July 26, 2001					
	ıc	BSC's Opening Brief in Support of Its Motion for Judgment as a Matter of Law or, in the Alternative, for a New Trial					
	ΩĮ	Cordis' Answering Brief in Opposition to BSC's Motion for JMOL or a New Trial on the Palmaz '762 Patent and the Schatz '332 Patents					
	JE	BSC's Reply Brief in Support of Its Motion for Judgment as a Matter of Law or, in the Alternative, for a New Trial					
	JF	J. Rösch et al., Abstract, Expandable Gianturco-Type Wire Stents in Experimental Intrahepatic Portacaval Shunts, Program: "72nd Scientific Assembly and Annual Meeting of the Radiological Society of North America", November 30 - December 5, 1986, Radiology, Vol. 161, pgs. 40-41, 1986.					
	JG	Cordis Corporation v. Boston Scientific, Order Dated March 27, 2006 (97-550-SLR)					
	JH	Cordis Corporation v. Boston Scientific, Judgment in a Civil Case Dated March 27, 2006 (97-550-SLR)					
\bigvee	JI .	Cordis Corporation v. Boston Scientific, Memorandum Opinion Dated March 27, 2006 (97-550-SLR)					
Examiner Signature		Date Considered					

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ¹Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449A/PTO				Application Number	10/689,579
				Filing Date	October 20, 2003
INFORMATION			First Named Inventor	Julio C. Palmaz	
STATEMENT BY APPLICANT (Use as many sheets as necessary)				Group Art Unit	3738
				Confirmation No.	2120
				Examiner Name	Paul B. Prebilic
Sheet	33	of	33	Attorney Docket No.	02748P0044US

Examiner Cite Initials* No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.						
PP	IJ	Cordis Corporation v. Boston Scientific, Memorandum Opinion Dated March 27, 2006 (97-550-SLR)						
	JK	Cordis Corporation v. Boston Scientific, Memorandum Opinion Dated March 27, 2006 (97-550-SLR)						
	JL	Cordis Corporation v. Boston Scientific, Order Dated March 27, 2006 (97-550-SLR)						
	JM	Cordis Corporation v. Boston Scientific, Order Dated March 27, 2006 (97-550-SLR)						
V								
Examiner Signature		/Paul Prebilic/ Date Considered 04/25/2006						

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.